

2002-2003 Implementation Guide

Overview

The purpose of this Implementation Guide is to assist schools that participate in the Federal Pell Grant Program, Third Party Servicers, and Software Vendors with implementing the system changes for the 2002–2003 Award Year. In addition, it is intended to provide relevant information to new schools and financial aid administrators (FAAs) to help them to begin participating in the Federal Pell Grant Program.

This guide provides information about:

- 2002-2003 Modifications and information on what is new for 2002-2003
- Award Year Specific Information, including Message Class Tables, Yearly Parameters, and Pell Payment Tables
- Batches, 2002-2003 modifications, and edit modifications
- Originations, 2002-2003 modifications, calculation examples/scenarios, and edit modifications
- Disbursements, 2002-2003 modifications, and calculation examples/scenarios,
- Electronic Statement of Account (ESOA), drawing down funds, 2002-2003 modifications, and report layouts
- Four types of data requests, 2002-2003 modifications, and edit modifications
- Process Changes for 2002-2003

- Cycle process flows for daily processing and yearly processing
- At-A-Glance Edit Codes as well as Edit Code Tables, Technical Edits and Pell Grant-COD Edit Conversion Table.

This Implementation Guide is a companion to the record layouts and edits contained in Custom Layouts, and Appendix D, Reject Codes, Error Messages, and Edit Descriptions. Questions pertaining to this section should be directed to Customer Service at 800/4PGRANT (or 800/474-7268) or sent by e-mail to pell.systems@ed.gov.

To help users understand the new guidelines, the modifications have been classified into four specific functional areas:

- Batches
- Origination Records
- Disbursement Records
- Data Requests

Within each functional area, individual modifications are described and discussed.

2002-2003 Modifications

What's New for 2002-2003

The following matrix, 2002-2003 Modifications-At-A-Glance, presents an at-a-glance table for viewing 2002-2003 cycle modifications to processes and procedures, edits, record layouts, fields, records, and reject codes.

| 2002–2003 Modifications | New Process/ Procedure | New or Redefined Edit(s) | Redesign Record Layout | New or Redefined Field(s) | Eliminated Records, Fields, Edits & Reject Codes |
|---|---------------------------|--------------------------|------------------------|---------------------------|--|
| 1. The Software Provider field is now a required field. | | X | | X | |
| 2. Grant Batch Trailer records for message classes with no reported data have fields that are now zeroed-filled | X | | | | |
| 3. The Grant Batch Trailer records now have a duplicate record count field | X | | X | X | |
| 4. New field added to the Origination record and Origination Acknowledgment for Student's Current SSN | X | | X | X | |
| 5. New field added to the Origination and Origination Acknowledgment record for Student's Date of Birth | X | | X | X | |
| 6. New field added to the Origination and Origination Acknowledgment record for the Student's Last Name | X | | X | X | |

2002-2003 Modifications (Continued)

| 2002–2003 Modifications | New Process/ Procedure | New or Redefined Edit(s) | Redesign Record Layout | New or Redefined Field(s) | Eliminated Records, Fields, Edits & Reject Codes |
|---|---------------------------|--------------------------|------------------------|---------------------------|--|
| 7. New field added to the Origination record and Origination Acknowledgment for the Student's First Name | X | | X | X | |
| 8. New field added to the Origination and Origination Acknowledgment record for the Student's Middle Initial | X | | X | X | |
| 9. Cents other than zero in award amount now accepted. Award Amount is no longer truncated in processing to lowest whole dollar amount. | X | X | | | |
| 10. A Negative Pending field has been added to the origination acknowledgment | X | | X | X | |
| 11. The Secondary EFC has been added as a new field to the Origination Acknowledgment | | | X | X | |
| 12. Added a new edit 332 - Invalid Reported Current SSN for award year 2003 and beyond | | X | | | |
| 13. Added a new edit 333- Invalid Reported Date of Birth for award year 2003 and beyond | | X | | | |
| 14. Added a new edit 334- Invalid Reported Last Name for award year 2003 and beyond | | X | | | |

2002-2003 Modifications (Continued)

| 2002–2003 Modifications | New Process/ Procedure | New or Redefined Edit(s) | Redesign Record Layout | New or Redefined Field(s) | Eliminated Records, Fields, Edits & Reject Codes |
|--|---------------------------|--------------------------|------------------------|---------------------------|--|
| 15. Added a new edit 335- Invalid Reported First Name for award year 2003 and beyond | | X | | | |
| 16. Added a new edit 336- Invalid Reported Middle Initial for award year 2003 and beyond | | X | | | |
| 17. Added a new edit 337- Student Not Pell Eligible for award year 2003 and beyond | | X | | | |
| 18. Added a new edit 338- Duplicate Match on CPS for award year 2003 and beyond | | X | | | |
| 19. Added a new edit 339- Origination Record Reported with Zero Award Amount for award year 2003 and beyond | | X | | | |
| 20. The School Cross-Reference field on the Origination record has been renamed to Origination Cross-Reference | | | | X | |
| 21. The Verification Status Code field uses only the following Valid Values 'V' = Verified, 'W' = Without Documentation, and Blank. | X | X | | X | X |
| 22. The first Origination record for a student must have an award amount for the entire year greater than \$0.00 (000000) or it will be rejected | X | X | | | |

2002-2003 Modifications (Continued)

| 2002–2003 Modifications | New Process/ Procedure | New or Redefined Edit(s) | Redesign Record Layout | New or Redefined Field(s) | Eliminated Records, Fields, Edits & Reject Codes |
|--|---------------------------|-----------------------------|------------------------------|------------------------------|---|
| 23. The record length for the Origination Record has been changed to 300 | | | X | | |
| 24. The record length for the External Origination Record has been changed to 350 | | | X | | |
| 25. The record length for the Origination Acknowledgment has been changed to 450 | | | X | | |
| 26. Disbursements for the same student in the same batch will be processed in disbursement reference number order | X | | | | |
| 27. The Disbursement Reference Number range is 01-65 | | | | X | |
| 28. The Institutional Cross-Reference field has been renamed on the Disbursement record, Disbursement Cross-Reference field | | | | X | |
| 29. The Institutional Data Record and acknowledgement has been eliminated | | | | | X |
| 30. New field added to the student specific Data Request record and Data Request Acknowledgment for Student's Current SSN | X | | X | X | |
| 31. New field added to the student specific Data Request record and Data Request Acknowledgment record for Student's Date of Birth | X | | X | X | |
| 32. New field added to the student specific Data Request record and Data Request Acknowledgment record for the Student's Last Name | X | | X | X | |

2002-2003 Modifications (Continued)

| 2002–2003 Modifications | New Process/ Procedure | New or Redefined Edit(s) | Redesign Record Layout | New or Redefined Field(s) | Eliminated Records, Fields, Edits & Reject Codes |
|---|---------------------------|--------------------------|------------------------|---------------------------|--|
| 33. New field added to the student specific Data Request record and Data Request Acknowledgment for the Student’s First Name | X | | X | X | |
| 34. New field added to the student specific Data Request record and Data Request Acknowledgment record for the Student’s Middle Initial | X | | X | X | |
| 35. The MRR types “VW” and “SI” are no longer being used and are deleted in the MRR record layout | | | | | X |
| 36. On the Reconciliation file, the field name has been changed from Attending Campus to Reporting Campus | | | | X | |
| 37. On the Year-to–Date Origination record change the field name from Institutional Cross-Reference to Origination Cross-Reference | | | | X | |
| 38. On the Year-to-Date Disbursement record change the field name from Institutional Cross-reference to Disbursement Cross-Reference | | | | X | |
| 39. The Data Request record length is 125 | | | X | | |
| 40. The Data Request Acknowledgment record length is 150 | | | X | | |
| 41. Added a new edit 612- Invalid Reported Current SSN, Date of Birth or Student Name | | X | | | |

Award Year Specific Information

Message Class Table

The 2002-2003 Federal Pell Grant message classes are shown in the table below:

Pell Message Classes

| Message Class | Sent or Received by School | Batch ID | Data Type | Record Length | TIVWAN/ SAIG Mailbox |
|---------------|----------------------------|----------|---|---------------|----------------------|
| N/A | Sent / Received | N/A | SAIG Transmission Header Record | Variable | TG54880 |
| N/A | Sent / Received | N/A | SAIG Transmission Batch Header Record | Variable | TG54880 |
| N/A | Sent / Received | N/A | SAIG Transmission Batch Trailer Record | Variable | TG54880 |
| N/A | Sent / Received | N/A | SAIG Transmission Trailer Record | Variable | TG54880 |
| N/A | Sent / Received | N/A | Grant Header Record | Variable | TG54880 |
| N/A | Sent / Received | N/A | Grant Trailer Record | Variable | TG54880 |
| PGOR03IN | Sent | #O | Origination Records | 300 | TG54880 |
| PGOA03OP | Received | #O | Origination Acknowledgement Records | 450 | TG54880 |
| PGOP03OP | Received | #P | Origination Acknowledgement Records from the Web | 450 | TG54880 |
| PGDR03IN | Sent | #D | Disbursement Records | 100 | TG54880 |
| PGDA03OP | Received | #D | Disbursement Acknowledgement Records | 200 | TG54880 |
| PGDW03OP | Received | #W | Disbursement Acknowledgement Records from the Web | 200 | TG54880 |
| PGAS03OP | Received | #A | Statement of Account Records | 200 | TG54880 |
| PGRQ03IN | Sent | #R | Data Request Records | 125 | TG54880 |
| PGRA03OP | Received | #R | Data Request Acknowledgement | 150 | TG54880 |
| PGMR03OP | Received | #M | Multiple Reporting Records | 450 | TG54880 |
| PGRC03OP | Received | #C | Reconciliation Records | 112 | TG54880 |
| PGYR03OP | Received | #Y | Year to Date Records | 350 | TG54880 |
| PGTX03OP | Received | #T | ED Comments in ASCII Text Format | 80 | TG54880 |
| PGDA03OP | Sent | #G | System Generated Batches | 200 | TG54880 |

Yearly Parameters

For each school year, certain parameter data is updated to display the values that will be used for that school year. Listed below are the tables that contain data that is specific to a school year.

| Field | Minimum Value | Maximum Value |
|---|----------------------|----------------------|
| Expected Family Contribution (EFC) | 00000 | TBD |
| Award Amount | 00000 | TBD |
| Low Tuition Fee EFC | 00000 | TBD |
| Low Tuition Fee Cost of Attendance | 00000 | 99999.99 |
| Payment Period Start Date | 01/01/2002 | 06/30/2003 |
| Cost of Attendance (COA) | 00000.00 | 99999.99 |
| Weeks of Instructional Time in Acad. Year | 30 | 78 |
| Credit Hours in Academic Year | 24 | 100 |
| Clock Hours in Academic Year | 900 | 3120 |
| Enrollment Date | 01/01/2002 | 06/30/2003 |

The Number of Days is used in the edits for the “N” Day values. This indicates how many days prior to the disbursement the record can be sent depending on the Funding Type of the school.

| Code | Number of Days |
|-----------------|-----------------------|
| Just In Time | 7 |
| Advance Funding | 30 |
| Reimbursement | 0 |

Pell Payment Tables

The 2002-2003 Pell Payment Tables are available on the IFAP Web site at www.ifap@ed.gov.

Batches

Batch Process/Data

2002-2003 Batch Modifications

- The Software Provider field is now a required field (position 49-58 in the Grant Batch Header).
- Message classes sent without reported data from the Pell Processor will have applicable fields zero filled on the Grant Batch Trailer.
- The Grant Batch Trailer will include a count of duplicate records.

Originations

2002-2003 Origination Modifications

- Additional fields have been added to the Origination record for the student's name, Date of Birth, and Current SSN.
- New edit codes have been added to reject records that submit incorrect or invalid data in the new origination fields.
- The Origination record length is now 300 and the Origination Acknowledgement record length is 450
- Renamed the Institution cross-reference field to Origination cross-reference.
- There are now just three Verification Status Codes. They are V- Verified, W- Without Documentation and Blank.
 - Records selected for verification and sent for processing with a Blank status will be corrected to "W"
 - Records sent for processing with a "W" must be updated to "V" or funds will be taken away after the Verification deadline
 - Records sent for processing with a "W" that have not been selected for verification must be updated with a "V" or funds will be taken away after the Verification deadline. Once a "W" is accepted on a record, a "V" must be submitted to update the record
- If the Origination record causes a decrease in the Origination Award Amount and the student has been disbursed more funds than the current Origination Award Amount, the Negative- pending amount will be included on the Origination Acknowledgement. A 323 edit code will also be included.
- The Amount of the Secondary EFC is included in the Origination Acknowledgement.

- The Origination Award Amount will now accept cents other than zero. The Award Amount is no longer truncated in processing to lowest whole dollar amount.
- When the first Origination record for a student is sent for processing with an Origination Award Amount (Award Amount for the entire year) of \$0.00, the record will be rejected

Origination Examples/Scenarios

The examples shown below are calculated using the 2001-2002 Pell Disbursement Schedule.

The following acronyms are used in the examples:

- Weeks of instructional time used to calculate payment (WKSCALC)
- Weeks of instructional time in program's definition in academic year (WKSPROG)
- Credit/Clock hours in all payment periods expected to complete this school year (HRSCALC)
- Credit/Clock hours in program's definition of academic year (HRSPROG)

Origination Award Amount Calculations

For the following examples each case will have an EFC = 00000 and a Cost of Attendance = 25000. The full time scheduled award would be 3750.

A) Payment Methodology = 1

- The Reported Origination Award Amount = 3750.00
- The Accepted Origination Award Amount will be 3750 since the Full Time value is 3750.

B) Payment Methodology = 2 or 3

- The Reported Origination Award Amount = 3750.00

- WKSCALC = 33
- WKSPROG = 39

Total Payment Ceiling (TPC) is calculated by (Scheduled Award * WKSCALC) / WKSPROG

$$TPC = (3750 * 33) / 39 = 3173.076923$$

- Since the cents is greater than .01 the TPC is rounded up to the next whole dollar. TPC = 3174
- The Accepted Origination Award Amount will be 3174.00 instead of the reported 3750.00 since 3174 is less than 3750.

C) Payment Methodology = 4 (Academic Calendar = 5)

- The Reported Origination Amount = 3750.00
- WKSCALC = 33
- WKSPROG = 39
- HRSCALC = 1000
- HRSPROG = 1100
- Total Payment Ceiling is calculated by:
- (((Scheduled Full Time Award * WKSCALC) / WKSPROG) * HRSCALC) / HRSPROG

$$TPC = (((3750 * 33) / 39) * 1000) / 1100 = 2884.615384$$

Since the cents is greater than .01 the TPC is rounded up to the next whole dollar. TPC = 2885.

The Accepted Origination Award Amount will be 2885.00 instead of the reported 3750.00 since 2885.00 is less than 3750.00.

D) Payment Methodology = 5 (Academic Calendar = 6)

- The Report Origination Amount = 3750.00
 - Payment Methodology 5 limits the award to a maximum of ½ the Scheduled Full time Award amount.
- WKSCALC = 33
- WKSPROG = 39
- HRSCALC = 29
- HRSPROG = 73
- Total Payment Ceiling is calculated by:
$$\frac{((\text{Scheduled Full Time Award} * \text{WKSCALC}) / \text{WKSPROG}) * \text{HRSCALC}}{\text{HRSPROG}}$$
$$\text{TPC} = \frac{((1875 * 33) / 39) * 29}{73} = 630.268703$$

Since the cents is greater than .01 the TPC is rounded up to the next whole dollar. TPC = 631.00.
- The Accepted Origination Award Amount will be 631.00 instead of the reported 3750.00 since 631.00 is less than 3750.00

Submitting a Change to an Existing Origination Record

To submit a change to an existing Origination record a new Origination record needs to be created. The new Origination record needs to have the same Origination ID as the existing record. The field(s) that have changed need to be populated with the new value while the field(s) that have not changed need to be populated with the existing values.

Origination Records with an Overpaid Status (Negative Pending)

An origination acknowledgement with Edit 323 is an overpaid (or Negative Pending) record. This situation occurs when the origination award amount is changed to an amount less than the total amount of accepted disbursements. When a 323 edit is sent on a Origination Acknowledgement, there is also a Negative Pending amount in positions 356-362. There are three ways to correct this situation:

- Send a negative disbursement to lower the total disbursement amount to equal the origination award amount.
- Send an origination change to increase the origination award amount to equal the total accepted disbursement amount.
- After 30 days, if either option above has not been employed, a negative disbursement will be created for the difference between the total disbursement amount and the origination award amount.

Disbursements

2002-2003 Disbursement Modifications

- The Institution Cross-Reference field has been renamed Disbursement Cross-Reference.
- Disbursements for the same student will be processed in Disbursement Reference Number order.
- Disbursements Reference Numbers may range from 01-65. The Disbursement Reference Numbers 66-99 are reserved for ED Use.

Calculation Examples/Scenarios

Correcting a Disbursement Amount

To correct a disbursement amount a new disbursement record is submitted for the difference between the existing amount and the new amount.

Example:

On October 1, 2002 the school submitted a disbursement for \$1,000 which was accepted. On October 15, 2002 the school needed to adjust the disbursement amount to \$750. The following records would have been submitted.

| Disb. Number | Date | Disb. Amt. | Debit/Credit Indicator |
|---------------------|-------------|-------------------|-------------------------------|
| 01 | 20021001 | 1000.00 | P |
| 02 | 20021015 | 250.00 | N |

The disbursement amount for the student can continue to be adjusted by sending in positive disbursements to increase the total disbursement amount and negative disbursements to decrease the total disbursement amount.

ESOA

2002-2003 ESOA Modifications

The Payment Control number has been eliminated

Report Layouts

ESOAs are reported in Detail and Summary reports. Please refer to Section 1, Custom Layouts, for the ESOA record description.

Data Requests

2002-2003 Data Request Modifications

- The Institutional Data record has been eliminated because schools can update their information online.
- The Data Request record length has been changed to 125 and the Data Request Acknowledgement has been changed to 250.
- The Data Request has added new fields for student specific data requests. These fields are Current SSN, Date of Birth, and Student Name.
- The MRR record will no longer use record types “VW” or “SI”
- The field name Attending Campus has been changed to Reporting Campus on the Reconciliation file.
- On the Year-to-Date file the Institution cross-reference fields have been changed to the Origination cross-reference and Disbursement cross-reference.

Process Changes

Award Year Changes

The following matrix shows the Process Changes at-a-glance that have been made to improve Pell Processing. These changes for 2002-2003 affect how the data is received and processed, how the data is interpreted and how the funds are disbursed to the schools. Additional detail about these changes can be found throughout the Pell Technical Reference.

| Process | Description |
|--------------------------|--|
| COD | Loan Origination and Pell data submitted on a Common Record. Full Participants use the Common record in 2002-2003 and Phase-In Participants use the legacy record layouts with fixed length files. |
| Verification "W" | Verification Status Codes have changed. The only codes used in 2002-2003 are "V" for Verified, "W" for Without Documentation, and Blank for not selected for verification. |
| Student Identifier | The COD Student Identifier uses the Student's Current SSN, Current Last Name, and Current Date of Birth. There are new fields on the Origination record and Data Request for these data elements. |
| Enrollment Status | The Enrollment Status is not used in COD processing. If sent to COD, no edits will be performed on this field. |
| Origination Award Amount | Cents greater than zero can now be submitted in the Origination Award Amount |
| Reject records | Rejected records are retained in a "holding bin" for viewing online |

| Process (Continued) | Description |
|-----------------------------------|---|
| Funding and Disbursement Date | Advance funded schools can send records up to 30 days in advance of the Disbursement Date in the record, however the funds will not be available for draw down until 7 days prior to the Disbursement Date |
| Potential Overaward Project (POP) | After receiving an MRR, schools have 30 days to mediate with the other POP affected school(s) and correct overpayment situations. After 30 days, if the student is still in an Overpaid status, all school involved will receive system generated negative disbursements decreasing all previously accepted disbursements for the student to zero |

Cycle Processing

Cycle Processes

Daily Processing Cycles

Origination and disbursement batches are processed 2 times a day.

Usually, the acknowledgements are returned to SAIG within 5 hours.

After the data is swept, it is processed in the following order:

- Originations
- Disbursements

During the second cycle, in addition to Originations and Disbursement, ESOAs are generated for the schools and disbursement data is sent to NSLDS.

This schedule may be modified at times depending on the volume of data received from the schools.

Yearly Processing Cycle

Certain activities occur during the Award Year

| Date | Activity |
|-----------------|---|
| March 2002 | The Pell Processor is ready to receive 2002-2003 Origination records and Data Requests. |
| May 2002 | 2002-2003 Initial Authorization is run. |
| June 2002 | The Pell Processor is ready to receive 2002-2003 Disbursement Records, Disbursement data starts being sent to NSLDS. |
| Spring 2003 | The first Administrative Cost Allowance (ACA) for 2002-2003 is performed. The Authorization amounts for 2002-2003 are reduced to the current obligation amounts. |
| Summer 2003 | The second ACA for 2002-2003 is performed. Deobligation for Verification Status "W" for 2002-2003 |
| Summer 2004 | The third ACA for 2002-2003 is performed. |
| October 1, 2003 | Origination records and increases to Disbursements are no longer accepted except for schools who have been granted Administrative Relief for 2002-2003. |

Edit Codes

Edit Codes At-A-Glance

The following tables present, at-a-glance:

- Legacy Edit and reject codes for batch edits,
- Origination edits,
- Data request edits,
- Disbursement edits, and
- Pell Grant-COD Edit Conversion Table.

An expanded version of the Edit Code Tables that include Edit Codes, What They Mean, How to Fix Them as well as Technical Edit information may be found in Appendix D.

When viewing batch and record data on the COD Web page, users will see the COD edit messages not the legacy edit/comment codes sent on the batch acknowledgement. A listing of all the legacy edit/comment codes and a conversion table of the COD edit messages is included here.

Batches

Edit Code types are: E=Reject, W=Warning, D=Duplicate.

| Edit Code | Message | Type |
|------------------|--|-------------|
| 201 | Missing /Mismatched Grant Batch Header. | E |
| 202 | Missing /Mismatched Grant Batch Trailer. | E |
| 203 | Duplicate Grant Batch Header. | E |
| 204 | Reserved for Future Use. | |
| 205 | Reserved for Future Use. | |
| 206 | Data Record Length must be numeric. | E |
| 207 | Reported Number of Records must be numeric. | E |
| 208 | Reported Total of Batch must be numeric. | E |
| 209 | Invalid /Missing Batch Number. | E |
| 210 | Year must be numeric. | E |
| 211 | Pell ID must be numeric. | E |
| 212 | Pell ID invalid or not found. | E |
| 213 | Creation date must be numeric. | E |
| 214 | Creation time invalid. | E |
| 215 | Batch Type Invalid. | E |
| 216 | Grantee DUNS does not match the School's Reporting Pell ID in Batch Number for Award Years prior to 2001-2002. | W |
| 217 | Discrepancy between different records with identical batch number. | E |
| 218 | Reported Number of Records does not equal count of detail records. | W |
| 219 | Total of Batch does not equal computed total of detail record amounts. | W |
| 220 | Reported Sign Indicator must be a valid indicator. | W |
| 221 | Reporting Campus Pell School ID is a branch. | E |
| 222 | School is currently ineligible upon receipt of batch. | W |
| 223 | Reserved for Future Use. | |

Batches (Continued)

Edit Code types are: E=Reject, W=Warning, D=Duplicate.

| Edit Code | Message | Type |
|------------------|---|-------------|
| 224 | Invalid Message Class. | E |
| 225 | Data Record Length is not valid for Message Class. | E |
| 226 | Batch type code in Batch Number must equal record type for message class. | E |
| 227 | Batch Create Date is greater than current system date. | E |
| 228 | Batch Number indicates you have included a batch with data from a different Award Year. | E |
| 229 | Batch submitted prior to system start-up date. | E |
| 230 | Disbursements submitted prior to award year start date. | E |
| 231 | Reporting School must send Special Disbursement for award years prior to 2001 - 2002. | E |
| 232 | No detail records in Batch. | E |
| 233 | Invalid Destination Mailbox ID. | E |
| 234 | Reserved for future use. | N/A |
| 235 | For Award Year 2000-2001 and prior. Blank Output Media Type. Set to Media Type that was received. | W |
| 236 | Trailer Message Class does not match the Header. | E |
| 238 | Trailer Destination Mailbox ID does not match the Header. | E |
| 239 | School is not using the latest release of EDEExpress. | W |
| 240 | OPE ID Number does not match the Schools Reporting Pell ID in the Batch Number for Award Years after 2000-2001. | W |

Originations

Edit Code Types: E-Reject, W-Warning, D-Duplicate

| Edit Code | Message | Type |
|-----------|--|------|
| 301 | Invalid Origination ID. | E |
| 302 | Duplicate Origination for Award Year 2000-2001 and before. | E |
| 303 | Invalid Original SSN or Name Code resulting in the Pell Processor being unable to match with the Applicant record for Award Year 2001 and before; OR Invalid Original SSN resulting in the Pell Processor being unable to match with the Applicant record for Award Year 2001-2002 and beyond. | E |
| 304 | Attending and Reporting/Funded campus mismatch or Origination Identifier Pell ID mismatch with Attended campus Pell ID. | E |
| 305 | Invalid Award Amount / Correction Applied. | W |
| 306 | Invalid Disbursement Date / Correction Applied. | W |
| 307 | Invalid Enrollment Date / Correction Applied. | W |
| 308 | Invalid low tuition & fees code / Correction Applied. | W |
| 309 | Invalid Verification Code / Correction Applied. | W |
| 310 | Invalid Incarcerated Federal Pell Recipient code / Correction Applied. | W |
| 311 | Invalid Transaction Number. | E |
| 312 | Invalid Expected Family Contribution. | E |
| 313 | Invalid Secondary Expected Family Contribution / Correction Applied. | W |
| 314 | Invalid Academic Calendar / Correction Applied. | W |
| 315 | Invalid Payment Methodology / Correction Applied. | W |
| 316 | Invalid Number of Payment Periods / Correction Applied for Award Year 2002 and before. | W |
| 317 | Invalid Cost Of Attendance / Correction Applied. | W |
| 318 | Invalid Enrollment Status / Correction Applied. | W |
| 319 | Invalid Weeks of Inst. Time in program's definition of Academic Year / Correction Applied. | W |
| 320 | Invalid Weeks of Inst. Time used to calculate payment / Correction Applied. | W |
| 321 | Invalid Credit or Clock hrs in program's definition of academic year / Correction Applied. | W |
| 322 | Invalid Credit or Clock hrs in all payment periods expected to complete this school year / Correction Applied. | W |

Originations (Continued)

Edit Code Types: E-Reject, W-Warning, D-Duplicate

| Edit Code | Message | Type |
|------------------|---|-------------|
| 323 | Origination is 'Over Paid' and negative disbursement is expected. | W |
| 324 | Invalid Name Code resulting in the Pell Processor being unable to match with the Applicant record, or does not equal the name code in the Origination ID, for Award Year 2002 and beyond. | E |
| 325 | Invalid Origination ID - Pell ID is non-numeric for Award Year 2002 and beyond. | E |
| 326 | Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2002 and beyond. | E |
| 327 | Invalid Origination ID - Sequence Number is not "00" for Award Year 2002 and beyond. | E |
| 328 | Invalid Origination ID - SSN is non-numeric, or is not \geq 001010001 and \leq 999999999 for Award Year 2002 and beyond. | E |
| 329 | Invalid Origination ID - Name Code not A-Z or '.' Or '' or '-' , or "" for Award Year 2002 and beyond. | E |
| 330 | Duplicate SAR ID may be shared by two students for Award Year 2002 or beyond. | W |
| 331 | Duplicate Origination for Award Year 2002 and beyond. | D |
| 332 | Invalid Reported Current SSN for award year 2003 and beyond | E |
| 333 | Invalid Reported Date of Birth for award year 2003 and beyond | E |
| 334 | Invalid Reported Last Name for award year 2003 and beyond | E |
| 335 | Invalid Reported First Name for award year 2003 and beyond | E |
| 336 | Invalid Reported Middle Initial for award year 2003 and beyond | E |
| 337 | Student Not Pell Eligible for award year 2003 and beyond | E |
| 338 | Duplicate Match on CPS for award year 2003 and beyond | E |
| 339 | Origination Record Reported with Zero Award Amount for award year 2003 and beyond | E |
| 399 | New Origination award or increase received after end of processing year and school has not been granted Administrative Relief. | E |

Disbursements Edit Codes and Messages

Edit Code Types: E = Reject, W = Warning, D = Duplicate

| Edit Code | Message | Type |
|-----------|---|------|
| 401 | Invalid Origination ID. | E |
| 402 | Origination ID Does not match. | E |
| 403 | Disbursement Reference Number Already on File. | E |
| 404 | Debit/Credit Indicator Flag Not Valid. | E |
| 405 | Disbursement Amount Not Valid because amount is non-numeric. | E |
| 406 | Disbursement Amount Is Not In Valid range. | W |
| 407 | Disbursement Date is Not Valid Date because date is non-numeric. | E |
| 408 | Disbursement Date is Not Valid for Processing Date, not in valid range, or not in valid date format. | E |
| 409 | <i>Reserve for future use.</i> | E |
| 410 | Potential Concurrent Enrollment – Multiple Reporting. Sent separately. | W |
| 411 | Potential Overaward Project - Multiple Reporting. Sent separately. | W |
| 412 | Negative disbursement cannot be accepted without a previous positive disbursement. | E |
| 413 | Insufficient negative disbursement amount. Negative disbursement is expected for difference amount. | W |
| 414 | Origination is in “Overpaid” status. Positive Disbursement cannot be accepted. | E |
| 415 | School Eligibility Status indicates the school must submit a Special Disbursement record and Award Year is 2000 – 2001 or prior. | E |
| 416 | Negative disbursement adjusted to reflect accepted previous disbursement balance. | W |
| 417 | Disbursement submitted after end of processing year and school has not been granted administrative relief | E |
| 418 | Origination indicates Verification Status 'W', disbursement adjusted to the Origination Award Amount or 50% of the Scheduled Award Amount, whichever is lesser, and Award Year is 2000 – 2001 or prior. | W |

Disbursements Edit Codes and Messages (Continued)

Edit Code Types: E = Reject, W = Warning, D = Duplicate

| Edit Code | Message | Type |
|------------------|---|-------------|
| 419 | Origination indicates Verification Status 'W'. Verification 'W' needs to be updated on the origination record. | W |
| 420 | Origination indicates Verification Status 'W', only one disbursement allowed, and Award Year is not 2001 – 2002 or prior. | E |
| 421 | Disbursement generated after Verification Deadline Date and Award Year is 2000 – 2001 or prior. | E |
| 422 | School is eligible, Payment Period Start Date is invalid or not in a valid range and Award Year is 2001 – 2002 or beyond. | W |
| 423 | School is not eligible, Payment Period Start Date is invalid or not in a valid range and Award Year is 2001 – 2002 or beyond. | E |
| 424 | School is not eligible, Payment Period Start Date is not in the eligible range and Award Year is 2001 – 2002 or beyond. | E |
| 425 | Invalid Origination ID - Pell ID is non-numeric for Award Year 2002 and beyond. | E |
| 426 | Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2002 and beyond. | E |
| 427 | Invalid Origination ID - Sequence Number is not "00" for Award Year 2002 and beyond. | E |
| 428 | Invalid Origination ID - SSN is not \geq 001010001 and \leq 999999999 for Award Year 2002 and beyond. | E |
| 429 | Invalid Origination ID - Name Code not A-Z or '.' Or '' or '-', ', or "" for Award Year 2002 and beyond. | E |
| 430 | Duplicate Disbursement reference number. Reference number already used | D |
| 431 | Award Year is 2002 or greater and the Disbursement Reference number is nonnumeric or NOT between 01 to 65. | E |
| 432 | Disbursement amount would have been corrected to zero by Pell Processor calculations so the Disbursement record was rejected. | E |
| 440 | CMO rejected this student for inadequate/missing eligibility documentation / information. | E |

Disbursements Edit Codes and Messages (Continued)

Edit Code Types: E = Reject, W = Warning, D = Duplicate

| Edit Code | Message | Type |
|------------------|---|-------------|
| 441 | CMO rejected this student for inadequate/missing fiscal documentation / information. | E |
| 442 | CMO rejected this student for inadequate/missing award or disbursing documentation / information. | E |
| 443 | CMO rejected this student for not meeting reporting requirements. | E |
| 444 | CMO rejected this student for failure to comply with requirements. | E |
| 445 | CMO rejected this student for inadequate or missing documentation. | E |

Data Requests

Edit Code Types: E = Reject, W = Warning, D = Duplicate

| Edit Code | Message | Type |
|------------------|--|-------------|
| 601 | Invalid Attended Pell ID | E |
| 602 | Invalid Data Request Type | E |
| 603 | Invalid Requested Output Media Type | E |
| 604 | Invalid Pell Batch Number | E |
| 605 | Invalid Multiple Reporting Request Code 1, should be 'O' or 'D' | E |
| 606 | Invalid Multiple Reporting Request Code 2, should be 'A', 'S' or 'I' | E |
| 607 | Invalid MRR Student ID | E |
| 608 | Invalid MRR School ID | E |
| 609 | Requested/Matching Data Not Found | E |
| 610 | Student Not Originated at Requesting School | E |
| 611 | Invalid Reconciliation Origination ID | E |
| 612 | Invalid Reported Current SSN, Date of Birth or Student Name | E |

Pell Grant-COD Edit Conversion Table

Overview

The Pell Grant Edit Conversion Table provides a crosswalk of edits in the Recipient Financial Management System, (RFMS) with those in the COD System.

The table lists the legacy warning or reject codes and the corresponding message with the COD error code and message. The table references the COD error code and message that will be posted on the COD Web page after a legacy record is processed and the legacy edit/comment code that will be returned on the actual legacy record.

Batch Edit/Reject Codes

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|----------------|---|--|
| 201 | Missing/Mismatched Grant Batch Header | N/A | N/A for COD | 801 - Missing/Mismatched Grant Batch Header |
| 202 | Missing/Mismatched Grant Batch Trailer | N/A | N/A for COD | 802 - Missing/Mismatched Grant Batch Trailer |
| 203 | Duplicate Grant Batch Header | 003 | Duplicate Document ID | |
| 206 | Data Record Length must be numeric | 998 | Invalid Format | 803 - Data Record Length must be numeric |
| 207 | Reported Number of Records must be numeric | 998 | Invalid Format | 804 - Reported Number of Records must be numeric |
| 208 | Reported Total of Batch must be numeric | 998 | Invalid Format | 805 - Reported Total of Batch must be numeric |
| 209 | Invalid/Missing Batch Number | 994 | Missing Value | 806 - Invalid/Missing Batch ID |
| | | 996 | Invalid Value | |
| 210 | Year must be numeric | 998 | Invalid Format | 807 - Year must be numeric |
| 211 | Pell ID must be numeric | 998 | Invalid Format | |
| 212 | Pell ID invalid or not found | 004 | Entity ID Not Found On Participant File | |
| 213 | Creation date must be numeric | 998 | Invalid Format | 808 - Creation date must be numeric |
| 214 | Creation time invalid | 996 | Invalid Value | 809 - Creation time invalid |
| 215 | Batch Type Invalid | 996 | Invalid Value | 810 - Batch Type Invalid |

Batch Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|---|----------------|---|---|
| 216 | Grantee DUNS does not match the school's Reporting Pell ID in Batch Number for Award Years prior to 2001-2002 | N/A | N/A for COD | 811 - Grantee DUNS does not match the school's 'Reporting Pell ID' in 'Batch ID' for Award Years prior to 2001-2002 |
| 217 | Discrepancy between different records with identical batch number | N/A | N/A for COD | 812 - Discrepancy between different records with identical Batch ID |
| 218 | Reported Number of Records does not equal count of detail records | 008 | Reported Number of Students Does Not Equal Detail Count | 813 - Reported Number of Records does not equal count of detail records |
| 219 | Total of Batch does not equal computed total of detail record amounts | 090 | Reported Amount of Disbursements does not equal Amount of detail records | 814 - Message Class, Batch ID, Origination Award Amount, Disbursement Amount, Reported Total of Batch |
| 220 | Reported Sign Indicator must be a valid indicator | N/A | N/A for COD | 815 - Reported Sign Indicator must be a valid indicator |
| 221 | Reporting Campus Pell School ID is a branch | 002 | Reporting Entity ID is Not Eligible To Report | |
| 222 | School is currently ineligible upon receipt of batch | 101 | The Reporting School Entity ID is listed as ineligible upon receipt of batch. | |
| 224 | Invalid Message Class | N/A | N/A for COD | 816 - Invalid Message Class |
| 225 | Data Record Length is not valid for Message Class | N/A | N/A for COD | 817 - Data Record Length is not valid for Message Class |
| 226 | Batch type code in Batch Number must equal record type for Message Class | N/A | N/A for COD | 818 - Batch Type code in Batch ID must equal record type for Message Class |
| 227 | Batch Create Date is greater than current system date | 006 | Document Create Date Greater Than Current System Date | |
| 228 | Batch Number indicates you have included a batch with data from a different Award Year | N/A | N/A for COD | 819 - Batch ID indicates you have included a Batch with data from a different Award Year |

Batch Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|----------------|--------------------------------|--|
| 229 | Batch submitted prior to system start-up date | N/A | N/A for COD | |
| 230 | Disbursements submitted prior to award year start date | N/A | N/A for COD | |
| 231 | Reporting School must send Special Disbursement for Award Years prior to 2001-2002 | N/A | N/A for COD | |
| 232 | No detail records in Batch | 007 | No Detail Records In Document | |
| 233 | Invalid Destination Mailbox ID | 001 | Invalid Destination Mailbox ID | |
| | | | | |
| 236 | Trailer Message Class does not match the Header | N/A | N/A for COD | 821 - Trailer Message Class does not match the Header |
| 238 | Trailer Destination Mailbox ID does not match the Header | N/A | N/A for COD | 822 - Trailer Destination Mailbox ID does not match the Header |
| 240 | OPE ID Number does not match the Schools Reporting Pell ID in the Batch Number for Award Years after 2000-2001 | N/A | N/A for COD | 823 - OPE ID Number does not match the Schools Reporting Pell ID in the Batch ID for Award Years after 2000-2001 |

Origination Edit/Reject Codes

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|----------------|--|---|
| 301 | Invalid Origination ID | N/A | N/A for COD | 826 - Invalid Origination ID |
| 302 | Duplicate Origination for Award Year 2001 and before | N/A | N/A for COD | |
| 303 | Invalid Original SSN resulting in Pell Processor being unable to match with the Applicant record for Award Year 2002 and beyond Invalid Original SSN or Name Code resulting in the Pell Processor being unable to match with the Applicant record for Award Year 2001 and before. | N/A | N/A for COD | 828 - Invalid Original SSN resulting in COD being unable to match with the Applicant record for Award Year 2002 and beyond Invalid Original SSN or Name Code resulting in COD being unable to match with the Applicant record for Award Year 2000-2001 and before. |
| 304 | Attending and Reporting/Funded campus mismatch or Origination Identifier Pell ID mismatch with Attending campus Pell ID | 034 | Attending School Entity Identifier has no relationship with the Reporting School Entity Identifier | |
| 305 | Invalid Award Amount/Correction Applied | 039 | Incorrect Award Amount | |
| 306 | Invalid Disbursement Date/Correction Applied | 995 | Invalid Value; Correction Applied | |
| 307 | Invalid Enrollment Date/Correction Applied | 995 | Invalid Value; Correction Applied | |
| 308 | Invalid Low Tuition & Fees Code/Correction Applied | 023 | Incorrect Low Tuition & Fees Code/ Correction Applied | |
| 309 | Invalid Verification Code/ Correction Applied | 995 | Invalid Value; Correction Applied | |

Origination Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|---|----------------|---|--|
| 310 | Invalid Incarcerated Federal Pell Recipient Code/Correction Applied | 995 | Invalid Value; Correction Applied | |
| 311 | Invalid Transaction Number | 024 | Reported CPS Transaction Number Does Not Match CPS | |
| 312 | Invalid Expected Family Contribution | N/A | N/A for COD | 824 - Invalid Expected Family Contribution |
| 313 | Invalid Secondary Expected Family Contribution/Correction Applied | 026 | Incorrect Secondary EFC Used/ Correction Applied | |
| 314 | Invalid Academic Calendar/Correction Applied | 028 | Incorrect Academic Calendar/ Correction Applied | |
| 315 | Invalid Payment Methodology/Correction Applied | 027 | Incorrect Payment Methodology/ Correction Applied | |
| 316 | Invalid number of Payment Periods/ Correction Applied for Award Year 2001 and before | N/A | N/A for COD | |
| 317 | Invalid Cost Of Attendance/Correction Applied | 995 | Invalid Value; Correction Applied | |
| 318 | Invalid Enrollment Status/Correction Applied | N/A | N/A for COD | |
| 319 | Invalid Weeks of Instructional Time in Program's Definition of Academic Year/Correction Applied | 029 | Invalid Weeks of Instructional Time in Program's Definition of Academic Year/Correction Applied | |
| 320 | Invalid Weeks of Instructional Time used to Calculate Payment/Correction Applied | 030 | Invalid Weeks of Instructional Time used to Calculate Payment | |

Origination Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|----------------|--|---|
| 321 | Invalid Credit or Clock Hours in program's definition of academic year/Correction Applied | 031 | Invalid Credit or Clock Hours in program's definition of academic year | |
| 322 | Invalid Credit or Clock Hours in all payment periods expected to complete this school year/Correction Applied | 032 | Invalid Credit or Clock Hours in all payment periods expected to complete this school year | |
| 323 | Origination is "Over Paid" and Negative Disbursement is Expected | 040 | Changed Award Amount Is Less Than Total Disbursements | |
| 324 | Invalid Name Code resulting in The Pell Processor being unable to match with the Applicant Record, or does not equal the name code in the Origination ID, for Award Year 2002 and beyond | N/A | N/A for COD | 829 - Invalid Name Code resulting in COD being unable to match with the Applicant Record, or does not equal the name code in the Origination ID, for Award Year 2002 and beyond |
| 325 | Invalid Origination ID - Pell ID is non-numeric for Award Year 2002 and beyond | 998 | Invalid Format | 830 - Invalid Origination ID - Pell ID is non-numeric for Award Year 2001- 2002 and beyond |
| 326 | Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2002 and beyond | 996 | Invalid Value | 831 - Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2001-2002 and beyond |
| 327 | Invalid Origination ID - Sequence Number is not "00" for Award Year 2002 and beyond. | 996 | Invalid Value | 832 - Invalid Origination ID - Sequence Number is not "00" for Award Year 2001-2002 and beyond |

Origination Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|----------------|--|---|
| 328 | Invalid Origination ID - SSN is non-numeric, or is not >=001010001 and <= 999999999 for Award Year 2002 and beyond | N/A | N/A for COD | 833 - Invalid Origination ID - SSN is non-numeric, or is not >=001010001 and <= 999999999 for Award Year 2001-2002 and beyond |
| 329 | Invalid Origination ID – Name code not “A-Z” or “,” or “-“ for award year 2002 and beyond. | N/A | N/A for COD | |
| 330 | Duplicate SAR ID may be shared by two students for Award Year 2002 or beyond | N/A | N/A for COD | 835 - Duplicate SAR ID may be shared by two students for Award Year 2001-2002 or beyond |
| 331 | Duplicate Origination for Award Year 2002 and beyond | N/A | N/A for COD | 827 - Duplicate Origination for Award Year |
| 332 | Invalid Reported Current SSN for Award Year 2003 and beyond. | 011 | No eligible SSN, DOB, and last name combination match on CPS for student | |
| 333 | Invalid Reported Date of Birth for Award Year 2003 and beyond | 011 | No eligible SSN, DOB, and last name combination match on CPS for student | |
| 334 | Invalid Reported Last Name for Award Year 2003 and beyond. | 011 020 | No eligible SSN, DOB, and last name combination match on CPS for student First and last name blank. | |
| 335 | Invalid Reported First Name for Award Year 2003 and beyond. | 020 | First and last name blank. | |
| 336 | Invalid Reported Middle Initial for Award Year 2003 and beyond. | 996 | Invalid Value | |
| 337 | Student not Pell eligible for Award Year 2003 and beyond. | 038 | Student not Pell Eligible | |

Origination Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|---|----------------|---|--|
| 338 | Duplicate match on CPS for Award Year 2003 and beyond | 025 | Duplicate match on CPS | |
| 339 | Origination Record reported with Zero Award Amount for Award year 2003 and beyond. | 042 | New award type submitted with a zero award amount | |
| 399 | New Origination Award or increase received after end of processing year and school has not been granted Administrative Relief | 043 | New Award Amount or Award Increase Received After End Of Processing Year and School Has Not Been Granted Administrative Relief (Pell)/ Extended Processing (DL) | |

Disbursement Edit/Reject Codes

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|--------------------------|--|---|
| 401 | Invalid Origination ID | N/A | N/A for COD | |
| 402 | Origination ID does not match a record in the Pell Processor database | N/A | N/A for COD | 848 - Origination ID does not match on COD |
| 403 | Disbursement Reference Number Already on File | N/A | N/A for COD | 841 - Disbursement Reference Number Already on File |
| 404 | Debit/Credit Indicator Flag Not Valid | N/A | N/A for COD | 842 - Debit/Credit Indicator Flag Not Valid |
| 405 | Disbursement Amount Not Valid because amount is non-numeric | 996 | Invalid Value | |
| 406 | Disbursement Amount Is Not in Valid Range | 067 | Incorrect Disbursement Amount | |
| 407 | Disbursement Date is Not Valid Date because date is non-numeric | 998 | Invalid Format | |
| 408 | Disbursement Date is Not Valid for Processing Date, not in Valid Range, or not a valid Date format | 051 053 996 998 | Disbursement Date With Payment Trigger Set to Yes Outside of Allowable Window Disbursement Date cannot be blank. Invalid Format Invalid Value | |
| 410 | Potential Concurrent Enrollment – Multiple Reporting. Sent separately | 069 | Potential Concurrent Enrollment - Notice Sent Separately | |
| 411 | Potential Overaward Project – Multiple Reporting. Sent separately | 068 | Potential Overaward Project- Notice Sent Separately | |

Disbursement Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|---|----------------|---|--|
| 412 | Negative Disbursement cannot be accepted without a previous positive disbursement | 996 | Invalid value | 843 - Negative Disbursement cannot be accepted without a previous accepted positive disbursement |
| 413 | Insufficient Negative Disbursement amount. Negative Disbursement is expected for difference amount | 065 | Insufficient Decrease in the Disbursement amount. Disbursement is expected for Amount of the Negative Pending | |
| 414 | Origination is in "Overpaid" status. Positive Disbursement cannot be accepted | 066 | Award is in "Overpaid" status. Disbursement Increase cannot be accepted | |
| 415 | School Eligibility Status indicates the School must submit a Special Disbursement Record and Award Year is 2000 – 2001 or prior | N/A | N/A for COD | |
| 416 | Negative Disbursement adjusted to reflect accepted Previous Disbursement Balance | N/A | N/A for COD | 844 - Negative Disbursement adjusted to reflect accepted Previous Disbursement Balance |
| 417 | Disbursement submitted after end of processing year and school has not been granted Administrative Relief | 071 | New Disbursement, Increase, or Payment Trigger to "Y" Received After End Of Processing Year and School Has Not Been Granted Administrative Relief (Pell)/Extended Processing (DL) | |

Disbursement Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|----------------|--|--|
| 418 | Origination indicates Verification Status 'W', Disbursement adjusted to the Origination Award Amount or 50% of the Scheduled Award Amount, whichever is lesser, and Award Year is 2000 – 2001 or prior | 064 | Disbursement Amount > 50% of award with Verification Status of "W" | |
| 419 | Origination indicates Verification Status 'W'. Verification W needs to be updated on the Origination record. | N/A | N/A for COD | "Legacy Edit to be added" |
| 420 | Origination indicates Verification Status 'W', only one disbursement allowed, and Award Year is 2000 – 2001 or prior | 061 | Only One Disbursement Allowed for Students with a Verification Status of "W" | |
| 422 | School is eligible, Payment Period Start Date is invalid or not in a valid range and Award Year is 2001 – 2002 or beyond | 996 | Invalid Value | |
| 423 | School is not eligible, Payment Period Start Date is invalid or not in a valid range and Award Year is 2001 – 2002 or beyond | 996 | Invalid Value | |
| 424 | School is not eligible, Payment Period Start Date is not in the eligible range and Award Year is 2001 – 2002 or beyond | 079 048 | Payment Period Start Date Not In Eligible Range School is ineligible | |
| 425 | Invalid Origination ID - Pell ID is non-numeric for Award Year 2002 and beyond | N/A | N/A for COD | |

Disbursement Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|----------------|---|---|
| 426 | Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2002 and beyond | N/A | N/A for COD | |
| 427 | Invalid Origination ID - Sequence number is not "00" for Award Year 2002 and beyond | N/A | N/A for COD | |
| 428 | Invalid Origination ID - SSN is not >=001010001 and <= 999999999 for Award Year 2002 and beyond | N/A | N/A for COD | |
| 429 | Invalid Origination ID - Name Code not A-Z or ". " or "- " or " " for Award Year 2002 and beyond | N/A | N/A for COD | |
| 430 | Duplicate Disbursement reference number. Reference number already at the Pell Processor | 058 | Duplicate Disbursement Information On File | |
| 431 | Award Year is 2002 or greater and the Disbursement Reference number is nonnumeric or not between 01 to 90 | 998 996 | Invalid Format Invalid Value | |
| 432 | Disbursement amount would have been corrected to zero by the Pell Processor calculations so the disbursement record was rejected | N/A | N/A for COD | 845 - Disbursement amount would have been corrected to zero by COD calculations so the disbursement record was rejected |
| 440 | CMO rejected this student for inadequate/missing eligibility documentation/ | 083 | Case Management Office (CMO) Rejected This Student For Inadequate/ Missing Eligibility Documentation/ Information | |

Disbursement Edit/Reject Codes (Continued)

| Legacy Reject Code | Legacy Edit Message | COD Error Code | COD Edit Message | COD Error Code & Message for Legacy Records Only |
|--------------------|--|----------------|--|--|
| 441 | CMO rejected this student for inadequate/missing fiscal documentation/information | 084 | CMO Rejected This Student For Inadequate/ Missing Fiscal Documentation/ Information | |
| 442 | CMO rejected this student for inadequate/missing award or disbursing documentation/information | 085 | CMO Rejected This Student For Inadequate/ Missing Award or Disbursing Documentation/ Information | |
| 443 | CMO rejected this student for not meeting reporting requirements | 086 | CMO Rejected This Student For Not Meeting Reporting Requirements | |
| 444 | CMO rejected this student for failure to comply with requirements | 087 | CMO Rejected This Student For Failure To Comply With Requirements | |
| 445 | CMO rejected this student for inadequate or missing documentation | 088 | CMO Rejected This Student For Inadequate or Missing Documentation | |