



SPRING CONFERENCE

Tradition, Transformation, Innovation

Baltimore, Maryland

THE U.S. Department of
EDUCATION



Session 42

Common Origination and Disbursement (COD): Direct Loan and Pell Processing



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

Agenda

- Overview of COD
- Features and Improvements
- COD Timeline
- Security – Access to COD
- Options
- COD School Testing Phases
- More Information on COD



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

Overview of COD

- Redefines aid origination and disbursement processes for Pell Grants and Direct Loans, and provides optional common reporting opportunities for campus-based programs
- Integrates a common process with a system designed to support origination, disbursement, and reporting



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

Overview of COD

- COD replaces the Recipient Financial Management System (RFMS) and the Direct Loan Origination System (DLOS) with an integrated common system starting in 2002-2003
- Increases accountability and program integrity



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

Overview of COD

COD Benefits to SFA

- Promotes program integrity
- Fully web-enabled system available at all times (24x7)
- Provides integrated support for Pell Grants, Direct Loans, and Campus-Based Programs
- Supports integrated decision-making and customer service
- Improves documentation of interaction with schools



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Participation

■ Full Participants

- Schools or vendors in the process of modifying software so that it supports the COD Common Record

OR

- Schools that made arrangements to install a vendor's software upgrade that is modified to support the COD Common Record



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Capabilities – Full Participants Only

- Send single student-centric record for Pell Grant and Direct Loan
- Same process for reporting changes to Pell Grants and Direct Loans
- Resolve origination and disbursement changes in one step (via Common Record)



COD Capabilities – Full Participants Only

- Flexible record format: only send data required
- *Optional* reporting of data elements (required today) that already exist in CPS
- *Optional* campus-based reporting to pre-populate portions of the FISAP



COD Participation

■ Phase-In Participants

Schools or vendors who send Pell Grant and/or Direct Loan records using AY 2002-03 software not modified for COD (including EDEExpress)



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

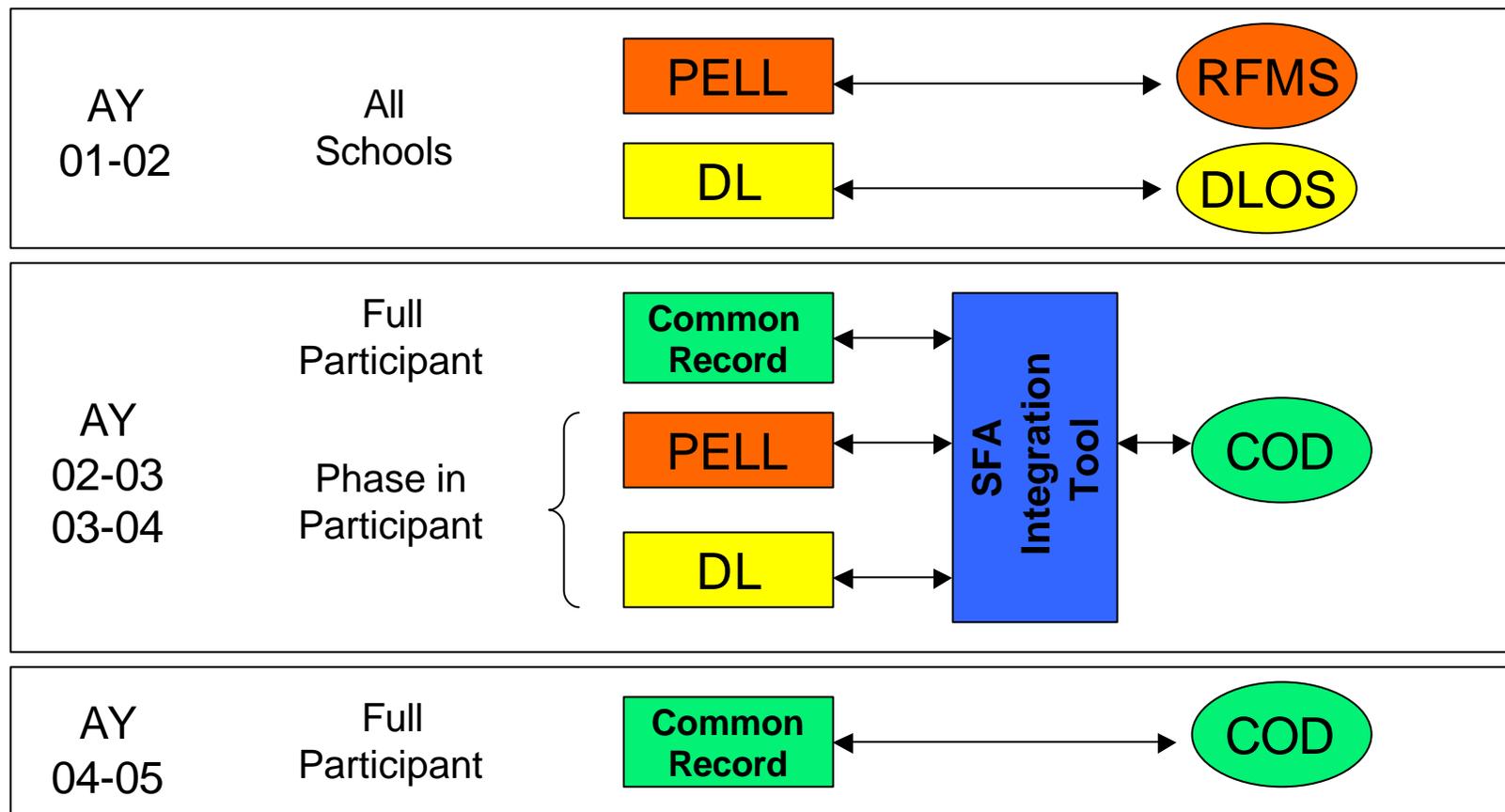
COD Features and Improvements

- COD is a process change only; no changes to programs
- Student Centric
- Single COD system
- Common Process
 - Common Record for origination, disbursement and changes



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Features and Improvements





SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Timeline

2002

March	April	May	June	July
<ul style="list-style-type: none">• March 4 – COD Application Testing begins• March 22 – SFA User Acceptance Test complete• March 22 – InterSystem Test complete• March 22 – COD Application Testing ends	<ul style="list-style-type: none">• APRIL 1 – COD RELEASE 1.0	<ul style="list-style-type: none">• MAY 13 – COD RELEASE 1.1• May 20 – Post Rollout Application Test begins (through Sept. 30)		<ul style="list-style-type: none">• July 1 – Additional COD Capabilities



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Release 1.0 – April 1, 2002

- Receive, edit, process and return origination and disbursement records for Pell, Direct Loan (except PLUS)
- New COD Website: <http://cod.sfa.ed.gov>
 - Search for a batch of records, student, award, school or Promissory Note
 - View details about batches, students, awards, disbursements, schools or Promissory Notes
 - Update students, awards and disbursements

THE U.S. Department of
EDUCATION



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Release 1.0 – April 1, 2002

- New Customer Service Center
 - Call the same numbers – 1(800) 4PGRANT for Pell Grants and 1(800) 848-0978 for Direct Loans
 - Enter the Award Year related to your question. Award Year 2002-03 questions will be handled by the new Customer Service Center
 - Prior year questions will be routed to the existing Pell and Loan Origination Centers



COD Release 1.0 – April 1, 2002

- Available Reports
 - Pending Disbursement List
 - Funded Disbursement List
 - SSN/ Name/ DOB Change Report
 - Duplicate Student Borrower Report
 - 30 Day Warning Report
 - Inactive Loans Report



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Release 1.0 – April 1, 2002

- Available Reports (continued)
 - ESOA - origination*
 - MRR*
 - Year to Date Record - origination*
 - SAS*
 - Reconciliation File*

* Can be requested via on-line data request



COD Release 1.1 – May 13, 2002

- PLUS Record Processing (includes credit checks through COD)
- Additional web functionality
- Additional COD reports



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

Access to COD – How do I Obtain Access?

- COD is a web based application that can be accessed using Microsoft Internet Explorer 4.01 or Netscape Navigator 4.73
- The URL for COD is <http://cod.sfa.ed.gov>



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

Access to COD – How do I Obtain Access?

- Refer to the COD User Setup Letter on IFAP for detailed instructions
- Identify a Security Administrator for your school
- Submit your Security Administrator Request Letter on university or corporate letterhead by March 11, 2002



Access to COD – How do I Obtain Access?

Where do I send my Security Administrator Account Information?

U.S. Department of Education
COD School Relations Center
P.O. Box 9003
Niagara Falls, NY 14302



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

Access to COD – How do I Obtain Access?

What can the Security Administrator Do?

- View, update, and create school users
- Reset passwords, update and lock out users
- Update the school's address and contact information
- View, update, and create award and disbursement data



Access to COD – How do I Obtain Access?

What can School Users Do?

- Search for and view data for people, schools, awards, Promissory Notes and batches
- View (but not update or release) rejected or pending records
- Update his/her own profile



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Options – Concept of Options

Options allow schools the flexibility to customize how they interface with and use COD



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD Options Available

- Promissory Note Print Option
- Promissory Notes Type Option
- Disclosure Print Option
- Administrative Cost Allowance
- Web Activity Response
- Error Processing Options
- Number of Future Days to Display Disbursements



COD School Testing Phases

Testing Phase	Dates	Participants
Phase I – SAIG Communication Testing	Nov. 12 – Dec. 19, 2001	Full Participants Phase-In Participants
Phase II – Common Record Manual Verification	Jan. 7– Feb. 28, 2002	Full Participants
Phase III – Application Testing	March 4-22, 2002	Full Participants Phase-In Participants
Phase IV – Post Rollout Application Testing	May 20 – Sept 30, 2002	Full Participants Phase-In Participants



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD School Testing Phases

- **Common Record Manual Verification (Phase II)**
 - ✓ Participants submit Common Records through the SAIG Interface
 - ✓ COD School Test team verifies the Common Record conforms to 1.0e Schema
 - ✓ COD School Test team provides results and receipt when the test is successful



COD School Testing Phases

- **COD Application Testing (Phase III)**
 - ✓ Validates Schools, Servicers, and Vendors' ability to send, receive, and process records
 - ✓ Uses detailed test cases and scripts, as well as test ISIR file supplied by COD
 - ✓ Full Participants receive receipts and response files
 - ✓ Phase-In Participants receive acknowledgements in fixed-length format



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD School Testing Phases

- **Post Rollout Application Testing (Phase IV)**
 - ✓ Additional testing period for COD Application Testing
 - ✓ Full Participants and Phase-In Participants can send, receive, and process batches and records using own test scenarios and scripts



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD School Testing Phases

- **Post Rollout Application Testing (Phase IV)**
 - ✓ Phase-In Participants will receive acknowledgement files and will need to verify they can process these files
 - ✓ Full Participants will receive response records and will need to verify they can process these records



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

COD School Testing Phases

- **School Testing Resources:**
 - ✓ COD Technical Reference
 - ✓ Implementation Guide
 - ✓ School Testing Guide
 - ✓ Full Participant Website
 - ✓ Customer Service
 - ✓ Sign-up Sheets



More Information on COD

- Other Spring Conference Sessions
 - Session 11 – COD and Commonline: Dispel the Myths
 - Session 24 – Direct Loan Processing Changes for 2002-2003
 - Session 33 – COD Options and Access
 - Session 35 – Pell Grant Processing Changes for 2002-2003
 - Session 36 – 2003-2004 COD Full Participation Invitation
 - Session 37 – Common School ID™



More Information on COD

- IFAP Website (www.IFAP.ed.gov)
 - Pell Grant and Direct Loan Technical References
 - Common Origination and Disbursement section
 - COD Technical Reference:
 - Full Participant Implementation Guide
 - COD Testing Guide
 - Updates and Frequently Asked Questions

- SFA Spring Training Series
 - 75 locations, beginning in May 2002
 - Day 2 Focuses on COD



SPRING CONFERENCE
Tradition, Transformation, Innovation
Baltimore, Maryland

Feedback and Comments

Customer Service Call Center (until April 1, 2002)

- (800) 433-7327
- Mon-Fri 9:00AM-5:00PM ET

COD Contacts – Kitty Wooley, James Crown

- Kitty.Wooley@ed.gov
- James.A.Crown@accenture.com