



# NASFAA 2004

NATIONAL CONFERENCE • MINNEAPOLIS 7.18.04 - 7.21.04

*Helping Students Make it After All!*





# **2005-2006 Application Processing System Update**

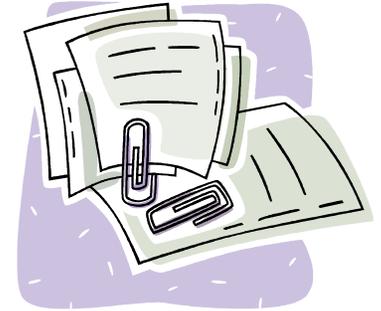
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**Teri Hunt**



# Today's Topics



- ★ Application Processing Statistics
- ★ Important Dates
- ★ What's New for 2005-06?
  - ★ School Application Products
  - ★ Student Applications and the Student Aid Report (SAR)
  - ★ Central Processing System (CPS)
  - ★ Institutional Student Information Record (ISIR)
- ★ Other Noteworthy News
- ★ Questions?



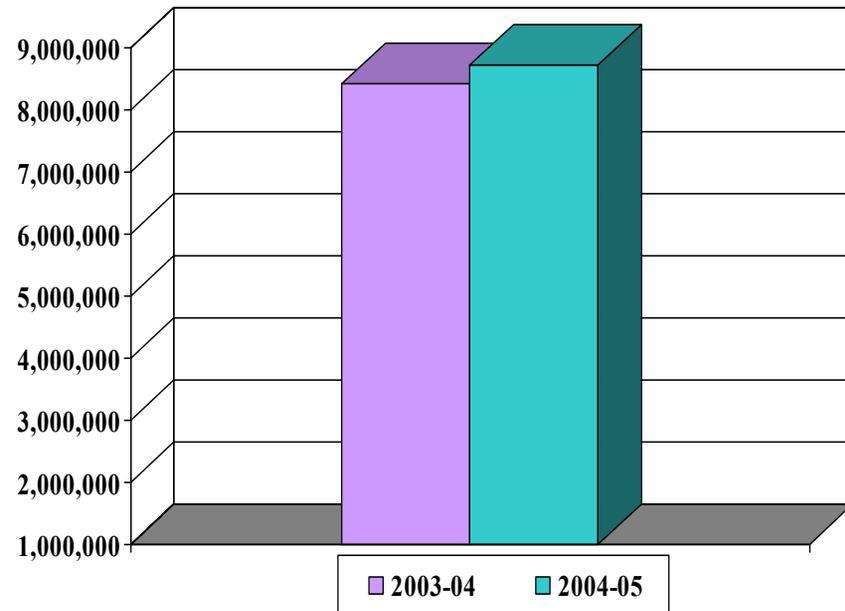
# Other Application Processing System Sessions

- **2005-06 Delivery System: Focusing on the ISIR, SAR, and ISIR Datamart**
  - Monday      July 19, 2:30 – 3:45 p.m.
  - Tuesday     July 20, 4:15 – 5:30 p.m.
- **IRS Match**
  - Monday      July 19, 2:30 – 3:45 p.m.
  - Tuesday     July 20, 4:15 – 5:30 p.m.



# Application Volume 2003-04 and 2004-05

- **8,424,820**  
2003-04 FAFSAs  
processed as of  
July 6, 2003  
(week 27)
- **8,728,399**  
2004-05 FAFSAs  
processed as of  
July 4, 2004  
(week 27)

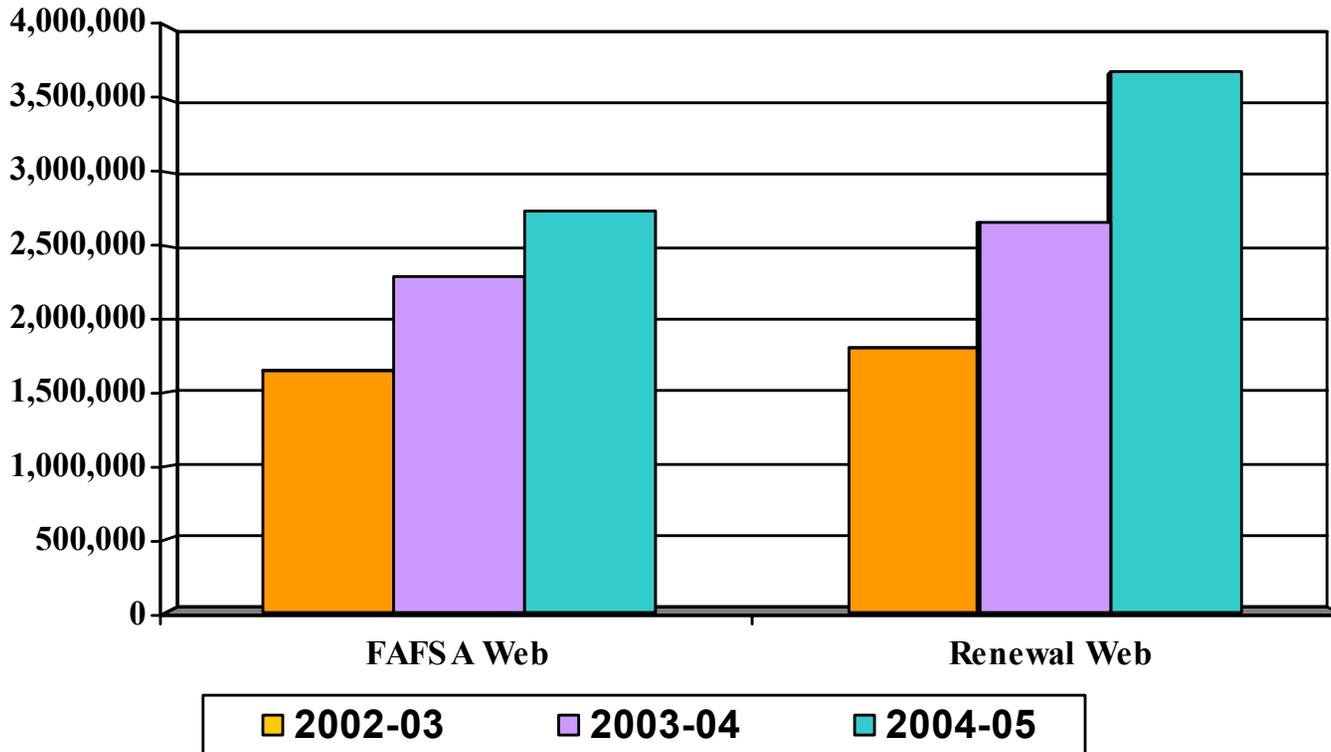


**That's a 3.6% increase!**



# Web Applications

For the first 27 weeks of processing for  
2002-03, 2003-04, and 2004-05





# Application Deadlines

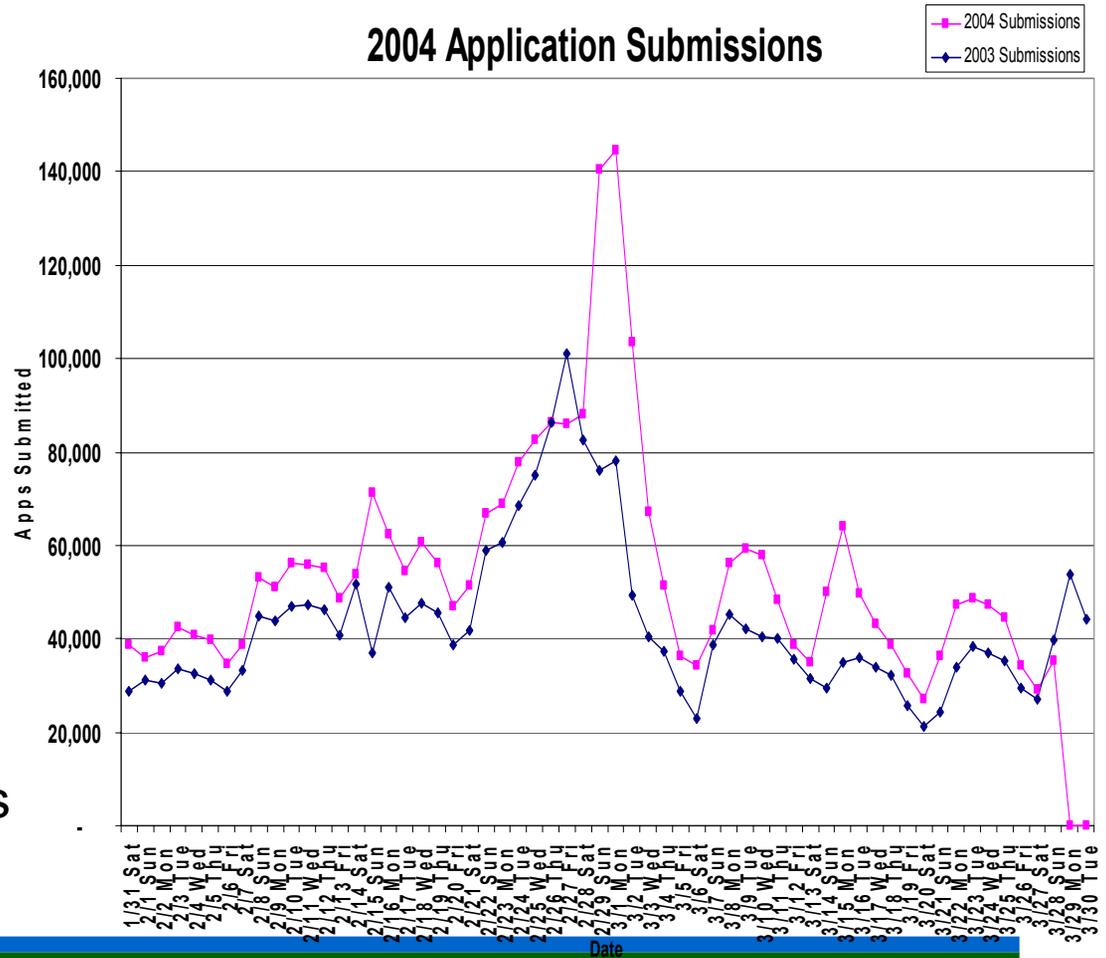
## Capacity Issues:

- School Deadline Dates
- State Deadline Dates

## Peak Deadline date March 1<sup>st</sup>, Application Submission Statistics:

- 144,522 Electronic apps submitted
- 60 Transactions Per Second
- 26,545 Concurrent users

### 2004 Application Submissions





# Important Dates



- Federal School Code file updates due July 26
- Draft ISIR Layout available on IFAP



- Renewal Application Process Announcement and Guide on IFAP and FSADownload



- Announcement explaining application processing system changes on IFAP and FSADownload
- School Electronic Processing Guide on IFAP and FSADownload (FAA Access, EDEExpress, ISIR Datamart)



# Important Dates

Nov

- Guide to ISIRs on IFAP
- FAFSA on the Web/PIN announcement on IFAP
- Revised ISIR Layout in EDE Technical Reference
- FAFSA on the Web Pre-Application Worksheet
- Federal School Code List mailed



- **Jan 1:** FAFSA on the Web, Renewal FAFSA on the Web, Corrections on the Web available
- **Jan 1:** FAA Access to CPS Online available
- **Jan 3:** Application processing system starts up

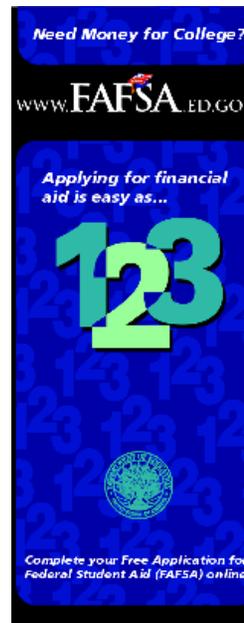


# Important Dates

## FAFSA on the Web Toolkits

Mailed in October to --

- Postsecondary schools
- High schools
- State agencies
- Libraries
- PTAs
- TRIO Centers
- Gear Up
- NCAN



Toolkits will include –

- FOTW Brochure
- PIN Brochure
- Poster
- Tips Card
- Web-Link Graphics  
Flyer
- FAA Access Flyer



# School Application Products

- ★ FAA Access to CPS Online
- ★ ISIR Datamart
- ★ R2T4 on the Web
- ★ ISIR Analysis Tool on the Web
- ★ EDExpress





# FAA Access to CPS Online

## Continue to Give Us Your Feedback



- Many community comments received with release of 2004-05 version of FAA Access
- In response, we made some immediate changes to the 2004-05 version and are making numerous improvements in 2005-06



# School Application Products

## FAA Access to CPS Online

**FSA**  
FEDERAL STUDENT AID  
*We Help Put America Through School*

Help FAQs

### FAA Access to CPS Online

#### Welcome to the FAA Main Menu

Select an option from the following menu. It will be necessary to provide your Destination Code and/or your Federal School Code:

- **Student Inquiry**  
View a student's Student Aid Report (SAR) information, including the Expected Family Contribution (EFC), NSLDS information, SAR Comments, etc.
- **Batch Status**  
Check the status of batches submitted to the CPS for application or correction processing for a particular award year. You can view these batches sorted by: Federal School Code or ISIR Type/Batch Type.
- **Signature Hold File Request**  
If you want to receive a list of students for your school whose applications are on hold pending receipt of signature(s), you may determine how often you would like to receive the signature hold file.
- **Application/Correction Entry**  
Enter a student's FAFSA, Renewal FAFSA or FAFSA Corrections or Verification Worksheet on the Web form and submit it to the CPS for processing.
  - **FAFSA Application**
  - **Renewal FAFSA**
  - **FAFSA Corrections**
  - **Verification Worksheet**
- **Restore a Saved Application**  
Restore a partially completed and saved FAFSA, Renewal FAFSA or FAFSA Corrections on the Web form and submit it to the CPS for processing.
  - **FAFSA Application**
  - **Renewal FAFSA**
  - **FAFSA Corrections**
- **ISIR Request**  
Request ISIR data.

? Need help with this page? EXIT

- Implemented in May 2001
- Current version allows FAAs to --
  - Enter and submit FAFSA and Renewal FAFSA data
  - View students' SAR information
  - Correct applicant data
  - Request ISIRs from Datamart

URL: [fafsa.ed.gov/faa/faa.htm](https://fafsa.ed.gov/faa/faa.htm)



# School Application Products

## FAA Access to CPS Online

- FAA Access offers many useful features, including –
  - Verification Tool
    - Calculates tolerances
    - Displays total “net” error
    - Provides option to create FAA Correction based on results of verification
  - Estimated taxes paid calculator
  - Real-time corrections results, including Official EFC





# FAA Access to CPS Online

## New for 2005-06

- Significantly reduced number of key strokes required during application and correction entry
  - No need to type in “TG” when entering TG Number
  - Initial cursor focus moved to the last name field
  - Intermediate step links in entry removed
  - “Born Before...?” question will pre-populate based on answer to date of birth question



# FAA Access to CPS Online

## New for 2005-06

- Simplified form entry by --
  - Combining responses to “Type of return filed” to match paper FAFSA
  - Prefacing answers in all drop-down boxes with the numeric equivalent of response
  - Moving “Calculate Taxes Paid” button to bottom of screen



# FAA Access to CPS Online

## New for 2005-06



- Simplified correction process –
  - New format allows users to see original and corrected field values, as corrections are entered
  - During correction entry, schools are required to enter Housing Plans for their school only
  - Increased functionality for the Verification Tool (formerly called Verification Worksheet)
    - FAAs can save Verification information in electronic format (HTML, Text) and store in student's file
    - FAAs have option to submit or not submit verification corrections



# FAA Access to CPS Online

## New for 2005-06

- In Student Inquiry users will have option to display two transactions side-by-side
  - Can choose to view data in field order
  - Can move fields that differ to top of grid



# FAA Access to CPS Online

## New for 2005-06

- Real-time Renewal application processing for students who do not need to go to matches
- FAA Help Search feature added
- FAAs can apply electronic signatures to records in the Signature Hold File
- Ability to perform FAA overrides for certain non-verifiable rejects



# FAA Access to CPS Online

- Provides Access to –
  - ISIR Datamart
  - R2T4 on the Web
  - ISIR Analysis (IA) Tool





# FAA Access to CPS Online ISIR Datamart

- Changes for 2005-06 --
  - Option added so that schools can request ISIRs resulting from corrections they submitted
  - Users will be able to submit multiple ISIR requests per day to the Datamart
  - Users can label their requests for easy identification
- For more details about the ISIR Datamart, attend the ***2005-06 Delivery System: Focusing on the ISIR, SAR, and ISIR Datamart*** session



# Return of Title IV Funds on the Web

- Will calculate the Return of Title IV Funds when a student withdraws
- Includes six reports to track the status of students as they move through the R2T4 process
- No software to download
- R2T4 is accessible anywhere on campus where there is Internet connectivity



# ISIR Analysis Tool on the Web

- Phase I Release includes functionality to --
  - Request specific or random samples of "initial" and "paid-on" ISIR transactions from the ISIR Datamart
  - Define and populate user-specified fields
  - Use the School Verification Flag field
  - Perform Data Validation
- Phase II Release will add the ability to generate standard and customized reports
  - Will be released in October 2004



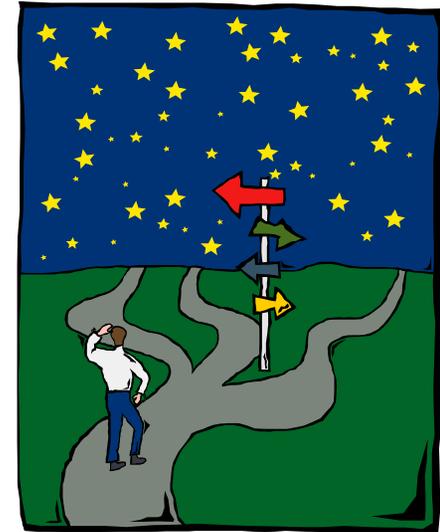
# EDExpress and EDESuite

- Simplified FAA Access Entry from EDExpress --
  - Demographic data will pre-populate initial FAA Access application
  - Will provide direct navigation to R2T4 and IA Tool on the Web
- SSCR for Windows, Release 2.0
  - Available in August 2004



# Student Applications and SAR

- ★ Paper FAFSA
- ★ Renewal FAFSA and Renewal Application Process
- ★ FAFSA on the Web and Renewal FAFSA on the Web
- ★ The PIN Web Site
- ★ Student Aid Report (SAR)
- ★ E-mail Notifications





# 2005-06 Paper FAFSA

- Federal Register Notice, June 18, 2004
  - Comments due July 19, 2004
  - Summary of proposed changes and draft FAFSA posted to IFAP
- 2005-06 FAFSA
  - Green for Students (Pantone 344U)
  - Purple for Parents (Pantone 263U)
- FAFSA will include
  - Return receipt postcard
  - Insert
- No new questions
- Questions follow same order as last year



# Proposed 2005-06 FAFSA Changes

- Added sentence to Certification Statement warning students not to disclose PIN to anyone

## Step Seven: Read, sign, and date.

If you are the student, by signing this application you certify that you (1) will use federal and/or state student financial aid only to pay the cost of attending an institution of higher education, (2) are not in default on a federal student loan or have made satisfactory arrangements to repay it, (3) do not owe money back on a federal student grant or have made satisfactory arrangements to repay it, (4) will notify your school if you default on a federal student loan, and (5) will not receive a Federal Pell Grant for more than one school for the same period of time.

If you are the parent or the student, by signing this application you agree, if asked, to provide information that will verify the accuracy of your completed form. This information may include your U.S. or state income tax forms. Also, you certify that you understand that the Secretary of Education has the authority to verify information reported on this application with the Internal Revenue Service and other federal agencies. If you sign any document related to the federal student aid programs electronically using a Personal Identifier Number (PIN), you certify that you are the person identified by the PIN and have not disclosed that PIN to anyone else. If you purposely give false or misleading information, you may be fined \$20,000, sent to prison, or both.

99. Date this form was completed.

MMDD 2005 or 2006

100. Student (Sign below)

Parent (A parent from Step Four sign below)

- Added gray-shaded “MMDD” or “MMDDYY” in all date fields to help students and parents complete correctly



# Future Changes to the FAFSA

- In 2005-06, six school choices will remain on paper FAFSA
- May need to reduce number of school choices on future paper FAFSAs
  - Continuing space issues on paper form
  - IRS income verification match may require additional data to be collected on the FAFSA
- What do you think about having fewer school choices on paper and six or more choices on the Web?
- In general, what do you think about having differences between paper and electronic forms?



# 2005-06 Paper Renewal FAFSA

- Includes changes made to paper FAFSA
- Unless school requests paper Renewal FAFSAs, renewal “reminders” will be sent to all applicants who are eligible to participate in renewal process
- Use FAA Access to make requests for paper Renewal FAFSAs



# 2005-06 Paper Renewal FAFSA

- Renewal Reminders
  - Students with valid e-mail addresses on their 2004-05 FAFSA will receive e-mail reminders
  - Students with no valid e-mail address will receive paper PIN Mailer reminders

Here is your U.S. Department of Education PIN. We are sending this to you so you can complete your 2004-2005 Renewal FAFSA. Beginning January 1, 2004, you can apply for student financial aid at [www.fafsa.ed.gov](http://www.fafsa.ed.gov).

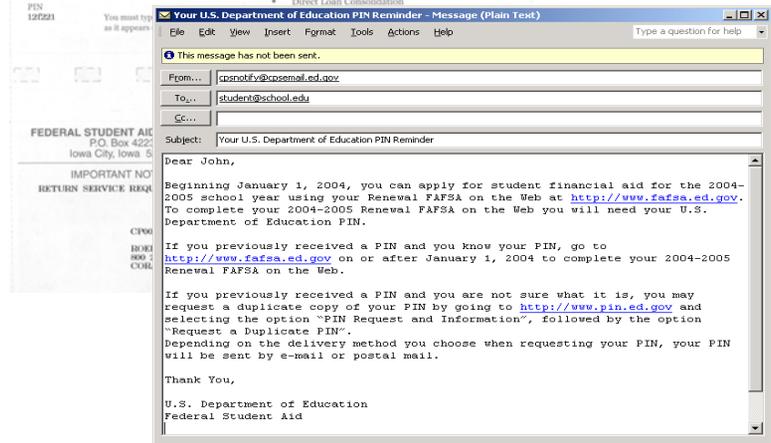
You will be able to use this PIN to:

- Complete and electronically sign your Free Application for Federal Student Aid (FAFSA) or Renewal FAFSA.
- Check the status and results of your processed FAFSA and make corrections to your FAFSA.
- View a history of the Federal student aid you received.
- Access your Direct Loan account to view Electronic Bills, disbursement information, and payment history, change your repayment plan or payment due date, make address corrections, get interactive counseling on deferment or forbearance for your Direct Loans.
- Complete Direct Loan Entrance Counseling and Exit Counseling over the web and have the results sent to your school of choice electronically.
- Track the processing status of your On-line Consolidation Loan application throughout the entire consolidation process from application receipt to looking with Direct Loan Servicing.
- E-sign your Federal Direct or Federal Family Education Loan (FFEL) Master Promissory Note.

Here is your U.S. Department of Education PIN.

U.S. Department of Education web sites where you can use this PIN:

- FAFSA, Renewal FAFSA, and FAFSA Corrections on the Web  
[www.fafsa.ed.gov](http://www.fafsa.ed.gov)
- National Student Loan Data System  
[www.nslds.ed.gov](http://www.nslds.ed.gov)
- Direct Loan Servicing  
[www.dl.ed.gov](http://www.dl.ed.gov)
- Direct Loan Consolidation





# 2005-06 Paper Renewal FAFSA

## Parent Information

- Will pre-print parent identifiers from student's 2004-05 FAFSA if parent identifiers matched with SSA
  - To protect privacy, only last four digits of parent's SSN will print

**XXX-XX-1234**

- Will not pre-print parent SSN, last name, first initial, or date of birth if parent identifiers did not match with SSA



# Renewal Application Process

## Important Dates

**August 2004**



Announcement and Guide posted on IFAP and FSADownload

Network message sent to schools to remind students to update addresses in CPS

**10/4 - 10/29**

Schools can request that paper Renewal FAFSAs, instead of reminders, be sent to students who are eligible to participate in the Renewal Process



# Renewal Application Process

## Important Dates

**11/5 - 12/17**



Renewal reminders sent to students

**11/5 - 12/17**

CPS mails Renewal FAFSAs to students



# FAFSA on the Web

## [www.fafsa.ed.gov](http://www.fafsa.ed.gov)

**FAFSA**  
FREE APPLICATION FOR FEDERAL STUDENT AID  
*We Help Put America Through School*

Deadlines Pin Site Help Contact Us FAQs Site Map

**Discover Your Opportunities!**  
FAFSA opens the door to the federal aid process. Every step you can take gets you closer to achieving your education goals. Find out what you can expect from beginning to end. [More>>](#)

**Welcome to FAFSA on the Web, U.S. Department of Education**

**FAFSA ALERTS:**  
**Deadlines:** Submit 2002-2003 FAFSA on the Web Applications by **midnight Central Daylight time, June 30, 2003.** [More>>](#)  
**Scheduled Maintenance:** FAFSA on the Web will be unavailable on every Sunday from 5 a.m. to 11 a.m. (Eastern Standard Time). We apologize for any inconvenience this may cause. [More>>](#)

To apply for your PIN online, go to the Department of Education's [PIN Site](#). [More>>](#)

Before Beginning a FAFSA	Filling Out a FAFSA	FAFSA Follow-up
<b>Get organized!</b> To simplify filling out the application, gather the required documents and other information.	<b>Fill out the application!</b> FAFSA has seven steps that ask about you, your school plans, financial information and more.	<b>Find your FAFSA online!</b> You can go back to your FAFSA to check status, make corrections to a processed FAFSA, and get other information.
<a href="#">▶ Determine my eligibility</a>	<a href="#">▶ Fill out a FAFSA</a>	<a href="#">▶ Check status of a submitted FAFSA</a>
<a href="#">▶ Gather documents and information</a>	<a href="#">▶ Llenar una FAFSA en Español</a>	<a href="#">▶ Print Student Aid Report (SAR)</a>
<a href="#">▶ Check deadlines</a>	<a href="#">▶ Fill out a Renewal FAFSA</a>	<a href="#">▶ Make Corrections to a Processed FAFSA</a>
<a href="#">▶ Register for a PIN</a>	<a href="#">▶ Continue working on saved FAFSA</a>	<a href="#">▶ Student Access</a>
<a href="#">▶ Find my school codes</a>	<a href="#">▶ Provide Electronic Signature</a>	<a href="#">▶ More Options &gt;&gt;&gt;</a>
<a href="#">▶ Interactive Worksheets</a>	<a href="#">▶ More Options &gt;&gt;&gt;</a>	
<a href="#">▶ More Options &gt;&gt;&gt;</a>		

FA ELECTRONIC SERVICES | PRIVACY & SECURITY | STUDENT GUIDE

- Apply
- Reapply
- Go to PIN Web site
- Check status of FAFSA
- View SAR information
- Make corrections



# **FAFSA on the Web and Renewal FAFSA on the Web New for 2005-06**

- New Spanish language version of RFOTW
- Streamlined FOTW Home Page
- FOTW provides more information about the PIN and encourages students and parents to apply
- 2005-06 Pre-Application Worksheet will be available on FOTW in November 2004



# FAFSA on the Web and Renewal FAFSA on the Web New for 2005-06

- Students and parents can apply for a PIN from FOTW, without exiting application
- Certification page will require students to agree not to disclose their PIN to anyone
- Parents with multiple children in college will be able to transfer their information to other children's applications





## FAFSA on the Web and Renewal FAFSA on the Web Edit Changes

- Students and parents who report --
  - Income amounts equal to Worksheet amounts will be prompted to verify the accuracy of the reported information before amounts will be accepted
  - Taxes paid amount equal to or exceeding AGI will receive reject 3 or 12, with prompt to see an FAA
- Existing cross-year edits will be performed during application entry
- Clearer text will be used in reject override pop-up boxes to ensure that students respond correctly



## Real-time Renewals on the Web

- Implementing real-time processing for Web Renewal applicants with “good” 2004-05 match results
- Will match students to SSA’s “Death Master File”
  - Students who match will not be able to participate in Renewal process



# The PIN Web Site

- For added security
  - PIN applicants and holders will be required to select and answer a Challenge Question
  - On a regular basis FSA will match records in PIN database with SSA's Master Death File
  - New audit system will track PIN user activity



# 2005-06 Student Aid Report (SAR)

- Paper SARs will be printed on green paper
- Only last four digits of parent's SSN will display on paper and electronic SARs
- Changes to NSLDS History Information
  - Aggregate loan information only
  - Tells students how to get more information about their loans from NSLDS
  - Provides standard text about estimating loan repayment amounts based on total borrowed



# E-Mail Correspondence



- When e-mails with links to SAR information on the Web are returned as undeliverable, a paper SAR or SAR Acknowledgement will be generated and mailed to student
- Spanish e-mail notifications will be sent to students and parents who submitted Spanish-language applications and provided valid e-mail addresses



# 2005-06 CPS and ISIR Changes

- ★ Database Matches
- ★ Rejects
- ★ Edits



# CPS Changes

## SSA Death Master File



- FSA will regularly match CPS records to SSA's Death Master File
  - If applicant is found in file, student's record will be re-sent to SSA for matching
    - If SSA does not find applicant in Date of Death (DOD) file, no further action
    - If SSA finds applicant in DOD file –
      - CPS will send a system-generated transaction to school(s)
      - Will not send a SAR to student
      - New Source/Type Code



# CPS Changes

## New Edits/Comments

- New warning edit/comment when student's/father's earned income is equal to spouse's/mother's
- New comment and comment code for applicants selected for verification on transaction 02 or higher
- No changes to current cross-year edits



# CPS Changes

## New Rejects



- New verifiable reject –
  - No “good” SSN match result is received for at least one parent
  - One parent reported all zeroes in SSN field, and
  - Parent(s) indicated on FAFSA that they will file an income tax return
- Reject D – No SSA/SSN match on applicant’s name



# CPS Changes

## New Reject Overrides

- Added reject override codes
  - Rejects J and K – Parents' SSN all zeroes, but filed a 2004 income tax return
  - Rejects 3 and 12 – Taxes paid amount is greater than or equal to AGI



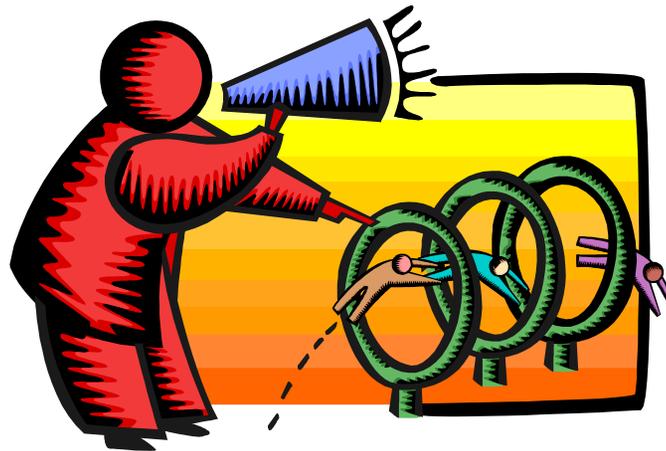
# ISIR Changes

## NSLDS

- Two new NSLDS postscreening reason codes --
  - Reason Code 14 = Active bankruptcy
  - Reason Code 15 = PLUS MPN status change



# Other Noteworthy News and Issues





# Database Matches

## SSN Database Match with SSA

- Verifiable reject for 2005-06 applicants whose DOB and/or name do not match with SSA
- Students must verify or correct name or DOB to eliminate reject
- More guidance coming soon



## Other Noteworthy News

- Follow-up e-mails sent to 2004-05 selected applicants and parents who estimated income on FAFSA – what should we do in the future to ensure accuracy?



## Other Noteworthy News

- Status of the IRS Income Verification Match
  - For more details and chance to provide input, attend the ***IRS Match*** session
- 2005-06 ISIRs will be available in flat file format only



# Other Noteworthy News

## The Multi-Year Applicant Database

- Collects and stores applicant data for multiple years
- Ability to cross-reference data for applicants across multiple years
- Community's ideas for future use?



# Demonstration Sites

## FAFSA on the Web and FAA Access

- On December 5, 2004, FAFSA on the Web and FAA Access demonstration sites will be available
  - To access sites, go to <http://fafsademotest.ed.gov>
  - Enter:
    - User Name: eddemo
    - Password: fafsatest
- Click on FOTW or FAA Access buttons at bottom of screen to access demo site
- Available until next December





# Upcoming Conferences

- 2005-06 Application Processing Update Videoconference in October 2004
- Electronic Access Conferences



**Orlando, FL**

**Nov 1 – 4, 2004**



**Las Vegas, NV**

**Nov 30 – Dec 3, 2004**



# Questions?